

Research and Sponsored Projects Policies	Policy Number					
Manual	Effective Date 02-1 Most Recent Review Date 01-1 Due Date for Next Review 01-5					
<u>Subject</u>	Most Recent Review Date	01-Dec-2013				
Signatory Authority	Due Date for Next Review	01-Sep-2016				
Responsible Office: DVC Research & Grad. Studies	Pages of this Policy	1 of 2				

17. Signatory Authority: Research and Sponsored Projects

	7. Signatory Authority: Research and Sponsored Projects												
		Signatory Authority Level											
	Transaction Description	Principal Investigator	Inventor/s	Department Chair	Assist Dean	Dean/Center Director	RERB-Committees	Director, ORSP	DVCRGS	DVCAA	Vice Chancellor	Chancellor	University Council
o d	Proposing modifications and implementing future updates												
Policies and Procedures Manual	to Research and Sponsored Projects Policies and Procedures								X				
olici Proce Ma	Changes in Policies								X	X	X	X	X
T T	Changes in Procedures								X		X		
	Approval of research agreements and MOUs					X		X	X		X		
	Approval to submit research proposals for internal funding	X		X		X							
	Approval of internal University research grant awards to faculty							X	X				
ies	Approval of internal College/Institute/Center research grants				X	X							
	Approval to submit proposals for externally sponsored projects	X		X		X		X	X				
	Approval to modify overhead rate for externally sponsored projects					X		X	X		X		
tanc	Approval of award contracts of sponsored projects ¹	X							X		X		
onsul	Approval of subcontracts on a sponsored project to an external party	X				X		X	X				
and C	Approval for term employment contracts for research staff on a sponsored project	X		X		X							
jects ;	Approval for short-term employment of students and assigning staff overtime work on a sponsored project			X		X							
h Pro	Approval of requests to buy-out time for PIs on sponsored projects			X		X				X			
Research Projects and Consultancies	Approval of requests to procure items to be charged to a sponsored project account	X				X							
	Approval of conference travel on a sponsored project budget	X		X		X							
	Approval of progress and final reports of externally sponsored projects				X								
	Approval for incentives and compensation for faculty members from sponsored project budgets				X	X							
	Approval of replacement of PI on a sponsored project				X	X		X					
	Approval of a major change in project scope, deliverables, duration, or budget	X				X							



Research and Sponsored Projects Policies	Policy Number	RA-17
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<u>Subject</u>	Most Recent Review Date	01-Dec-2013
Signatory Authority	Due Date for Next Review	01-Sep-2016
Responsible Office: DVC Research & Grad. Studies	Pages of this Policy	2 of 2

	Signatory Authority Level												
	Transaction Description	Principal Investigator	Inventor/s	Department Chair	Assist Dean	Dean/Center Director	RERB-Committees	Director, ORSP	DVCRGS	DVCAA	Vice Chancellor	Chancellor	University Council
77	Approval of agreements with other organizations on deeds of assignment of intellectual property		X		X			X	X				
Intellectual Property	Approval of agreements on commercialization of intellectual property owned by UAEU							X	X		X		
Intel	Approval of exceptions to assignments, licensing, commercialization, or distribution of income of UAEU intellectual property								X		X		
	Appointment of RERB Chair and Vice Chair										X		
_ ^	Appointment of other RERB members								X				
Ethical	Ethical approval of research projects (using animal and, human subject)	X					X						
H X	Ethical approval of research projects involving UAEU faculty, staff or students as subjects	X					X				X		
Named and Endowed Professorships	Establishment of named and Endowed Professorships					X		X	X	X	X		

⁽¹⁾ UAEU Signature depends on the level of signature of the awarding organization and the amount of contract, as per University policy. It could be the DVCRGS, Vice-Chancellor, or Chancellor.